Colorado Residency PCMH Project: Results From a 6-Year Transformation Effort

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BACKGROUND AND OBJECTIVES: Our objective was to describe the results of a 6-year patient-centered medical home (PCMH) transformation program in 11 Colorado primary care residency practices.

METHODS: We used a parallel qualitative and quantitative evaluation including cross-sectional surveys of practice staff and clinicians, group and individual interviews, meeting notes, and longitudinal practice facilitator field notes. Survey analyses assessed change over time, adjusting for practice-level random effects. Qualitative data analysis used iterative template coding and matrix analyses to synthesize data over time and across cases.

RESULTS: There were significant improvements in clinicians’ self-reported routine delivery of patient-centered care, team-based care, self-management support, and use of information systems ($P<.0001$). Clinicians and staff reported significant gains in practice change culture ($P=.001$). Self-reported practice-level assessments pointed to additional significant improvements in quality improvement (QI) processes, continuity of care, self-management support/care coordination, and the use of data and population management ($P<.0215$). Practices and their practice facilitators reported important changes in how practices operated, significantly improving their QI processes, shared leadership, change culture, and achieving Level III PCMH NCQA Recognition. Important barriers to further progress remain, including inadequate payment models, inflexible staff roles, and difficult access to clinical data.

CONCLUSIONS: The success of these 11 primary care residency practices in making significant improvements in their delivery of patient-centered care, team-based care, self-management support, and use of information systems took time, effort, and external support. Further practice redesign for advanced primary care models will take sustained sources of well-aligned support, flexibility, shared leadership, and partnerships across residency programs for collaborative learning to assist in their transformation efforts.

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In 2007, four primary care organizations formally endorsed the patient-centered medical home (PCMH) concept when they issued their Joint Principles of the Patient-Centered Medical Home, with other organizations adding their endorsement since that time. In response, the National Commission for Quality Assurance (NCQA) released its first PCMH Recognition Program in 2008. Several other national initiatives promoted implementation of PCMH principles in primary care. Primary care professional organizations recognized the difficult-to-meet needs of both preparing the future primary care workforce while also developing current faculty in this new model of care that they had not been exposed to in their own training.

In 2009, the Colorado Health Foundation sought to address these needs by funding an initial effort to transform primary care residences in Colorado into patient-centered medical homes while teaching both faculty and residents how to lead in the new model of care. The Colorado Residency PCMH Project assisted...
primary care residency training practices in PCMH implementation and curriculum redesign. From 2009 through 2014, the project engaged all 10 of Colorado’s family medicine residency training programs and one internal medicine residency primary care track practice using a practice facilitation model to transform these practices into PCMHs via practice improvement and curriculum redesign.

Models for practice improvement point to key foundational transformations—“building blocks”—as necessary components for achieving and maintaining high-performing primary care and better patient health. The Colorado Residency PCMH Project drew upon these early lessons learned, providing practices stipends, practice facilitators, biannual collaborative learning meetings, evaluation support, curriculum guidance, and access to consultation tools and resources. Many of the changes practices worked on focused on improving core components of a PCMH: leadership, quality improvement (QI) processes, staff and resident engagement, team-based care, patient access, data systems, patient engagement, and care coordination.

More than 10 years have passed since the Joint Principles were issued and 9 years since the PCMH project began in Colorado. What has changed in our residency training programs and practices? This article reports on results from the evaluation from baseline through final follow-up of the project (2009 through 2014).

Methods
A total of 11 residency practice sites from 10 residency programs participated in the Colorado Residency PCMH Project (one program has two practice sites). All 10 of Colorado’s family medicine residency training practices participated in the collaborative since its inception in 2009. One primary care internal medicine residency training practice joined the collaborative in 2012. Each practice was paired with a trained practice facilitator (previously known in the project as a Quality Improvement Coach) from a nonprofit organization, HealthTeamWorks. The practice facilitator attended monthly practice QI meetings, providing training, guidance, support, and resources for practice transformation, and helped practices work to achieve National Committee for Quality Assurance (NCQA) PCMH Recognition. The residency programs also received support to revise their residency training curriculum to better integrate PCMH competencies and training opportunities. Representatives from each residency, including faculty, residents, and staff members, also attended collaborative learning sessions focused on implementation of PCMH and practice improvement twice per year.

A parallel qualitative and quantitative evaluation included practice questionnaires, staff and clinician questionnaires, resident clinician questionnaires, and several qualitative data sources, including field notes, meeting notes, and interviews. The Colorado Multiple Institutional Review Board approved this evaluation project as exempt from human subjects review.

Practice Surveys
Changes in practice over time were assessed using three surveys that aligned with both components of the PCMH and with the facilitation support and resources provided to the residency practices. The surveys were administered at baseline (prior to practice coaching), midpoint (Fall 2012), and endpoint (Fall 2014).

1. The PCMH Clinician Assessment (PCMH-CA) provided information about clinicians’ routine use of the PCMH components as part of patient care. The PCMH-CA was completed by faculty clinicians and residents. The survey assessed clinicians’ perceived regular use of PCMH components using a 5-point Likert-type scale on 35 items. Prior validation and factor analysis yielded four reliable subscales used in our analysis: Team-based Delivery System Redesign, Patient-centered Care, Self-management Support, and Information Systems.

2. The Practice Culture Assessment (PCA) provided practice-wide information about the practice culture related to practice change and improvement. The PCA was completed by faculty providers, residents, administrators, and staff using a 5-point Likert-type scale (strongly disagree to strongly agree) on 22 items. Prior validation and factor analysis yielded three reliable subscales used in our analysis: Improvement and Change Culture, Work Relationships, and Chaos.

3. The PCMH Practice Monitor (see Appendix: https://journals.stfm.org/media/2359/fernald-appendixa-fm2019.pdf) was designed for this project to provide practices with a way to concretely analyze their implementation of different PCMH domains: Leadership, Staff and Resident Engagement, QI Team Functioning, Registry and Measures, Population Management, Patient-Centered Care, Team-based Care, Coordination of Care, Access and Scheduling, and Integration of Mental and Behavioral Health. Each practice completed one Monitor twice per year in a team meeting facilitated by the practice facilitator to consider how fully each PCMH component had been implemented in the practice. Items were developed by a team of physicians, researchers, and practice facilitators experienced in practice transformation to reflect key activities and milestones within the PCMH model. The version used in this project included between five and seven items for each PCMH domain, with each item identifying a specific milestone for the PCMH, for
For each subscale, a mixed-effects analysis examined change over time using all available data between 2009 and 2014. A random effect was included for practice to account for clustering of individuals within practices. Because it was not possible to link individual respondents over time, the structure of the data is longitudinal at the practice level with individuals nested within practices. For the PCMH-CA and PCA subscale scores, time was coded as “period” and analyzed as an ordinal variable (baseline, midpoint, end) to assess overall change over time. Role (resident vs faculty or clinician vs staff) was included as a fixed effect to assess for overall differences in scores by role, along with a two-way interaction term (role x time) to determine whether change over time differed by role. For the Monitor subscale scores, time was coded continuously (time since baseline, converted to fraction of a year to aid interpretability); estimates were obtained for slopes (average change per year) to assess the magnitude of change over time. All statistical analyses were performed using SAS version 9.4 (SAS Institute Inc, Cary, NC).

**Field Notes and Interviews**
The evaluation incorporated several qualitative data sources over multiple years of the project: practice facilitator field notes, resident exit interviews, learning collaboratives with resident and faculty clinicians, and staff, and (3) a final summary case report based on the survey and interview data was shared with each practice near the end of the evaluation.

The team used a combination of analytic approaches to answer key questions of interest to practices and the evaluation team. All qualitative documents were loaded into ATLAS.ti (Version 7.5; Scientific Software Development, GmbH, Berlin, Germany, 2014) for analysis. Members of the evaluation team read and reread qualitative data, utilizing a combination of an editing style of coding (inductive codes emerged from the reading of the data) and a template style of coding (a priori codes corresponding with key evaluation questions were applied to data), while allowing other key themes to emerge.19 For example, we applied major a priori codes of “barriers” and “successes” to evaluation data throughout analysis to quickly segment data for further inductive coding. We conducted subsequent rounds of inductive coding to identify specific barriers and facilitators to PCMH transformation, such as “provider and staff engagement,” “electronic health record documentation and reporting,” and “leadership.” Over the course of the project, members of the evaluation team and practice facilitators developed case-based matrices20 to organize summary data and refine major themes within each practice as well as those arising across multiple or all practices, periodically reviewing matrices and discussing emerging themes as a group. The evaluation team regularly presented findings to participants for discussion and feedback using the following strategies: (1) periodic review of findings and lessons learned with practice facilitators who were in all sites; (2) sharing of results at scheduled learning collaboratives with resident and faculty clinicians and staff, and (3) a final summary case report based on the survey and interview data was shared with each practice at the conclusion of the project.

**Results**

**PCMH Clinician Assessment (PCMH-CA)**
Over time, clinicians’ self-reported routine use of PCMH components improved significantly (P<.0001) across all four PCMH-CA subscales—team-based delivery system redesign, patient-centered care, self-management support, and information systems—for both resident and faculty clinicians (Table 1). Only in patient-centered care were there significant differences between resident and faculty clinicians, reporting less...
patient-centered care at baseline ($P=0.0088$). There was no difference in change in scores over time between resident and faculty clinicians.

**Practice Culture Assessment (PCA)**

On the PCA, difference over time, after adjusting for clustering of respondents within practices, clinicians, and staff reported significant overall improvements in the improvement and change culture in their practices (Table 2). Overall changes in work relationships were not statistically significant. Practice chaos remained relatively unchanged overall. However, there were significant differences between roles, with staff reporting lower overall scores on improvement and change culture ($P=0.0025$), chaos ($P=0.0230$), and work relationships ($P=0.0002$).

**Practice Monitor (Monitor)**

Overall, practices reported improvements in all areas of the Monitor. Subscales for Team-based Care ($P<0.0001$), Data and Population Management ($P=0.0095$), QI Processes ($P=0.0046$), Continuity of Care ($P=0.0023$), Self-management Support ($P<0.0001$) and Care Coordination ($P=0.0215$) showed significant improvements between 2009 and 2014 (Table 3).

**Qualitative Data**

The practice facilitator field notes described 11 different stories of the practice transformation journey. There was no single or easy path to transformation. For some, foundational changes came early, only to suffer setbacks later. For others, the foundational changes were beleaguered by early resistance and barriers, but practices found their way forward later.

Facilitator field notes detailed over the 6 years many of the successes, struggles, highs, lows, and accomplishments of these practices (see Table 4 quotations). Key among the accomplishments were:

- Level III PCMH NCQA recognition (highest recognition level) for all practices;
- Improved practice cultures to support team-based care and patient-centered operations;
- The establishment and maintenance of effective QI teams;
- Patient engagement efforts including data collection on patient experiences and satisfaction, new educational materials and communication methods, and patient and family advisory councils;

### Table 1: Change Over Time on PCMH-CA Subscale Scores

<table>
<thead>
<tr>
<th>Subscale</th>
<th>Clinician Type</th>
<th>Baseline N=197*</th>
<th>Midpoint N=184</th>
<th>End N=172</th>
<th>$P$ Value for Change Over Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Team-based Delivery</td>
<td>Resident</td>
<td>39.7</td>
<td>51.1</td>
<td>53.0</td>
<td>&lt;.0001</td>
</tr>
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<td>System Redesign</td>
<td>Faculty</td>
<td>40.9</td>
<td>49.2</td>
<td>55.7</td>
<td></td>
</tr>
<tr>
<td>Patient-centered Care</td>
<td>Resident</td>
<td>37.7</td>
<td>47.5</td>
<td>51.1</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td></td>
<td>Faculty</td>
<td>43.2</td>
<td>52.7</td>
<td>54.8</td>
<td></td>
</tr>
<tr>
<td>Self-management Support</td>
<td>Resident</td>
<td>47.6</td>
<td>59.4</td>
<td>60.3</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td></td>
<td>Faculty</td>
<td>51.3</td>
<td>57.9</td>
<td>61.5</td>
<td></td>
</tr>
<tr>
<td>Information Systems</td>
<td>Resident</td>
<td>40.3</td>
<td>48.9</td>
<td>52.4</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td></td>
<td>Faculty</td>
<td>41.9</td>
<td>51.6</td>
<td>51.9</td>
<td></td>
</tr>
</tbody>
</table>

*Ns are based on complete data for maximum numbers responding in each period and may not be the same across subscales.

### Table 2: Change Over Time on PCA Subscale Scores, by Clinic Role

<table>
<thead>
<tr>
<th>Subscale</th>
<th>Role</th>
<th>Baseline N=350*</th>
<th>Midpoint N=360</th>
<th>End N=332</th>
<th>$P$ Value for Change Over Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Improvement and Change Culture</td>
<td>Clinician</td>
<td>67.4</td>
<td>71.4</td>
<td>68.9</td>
<td>.0010</td>
</tr>
<tr>
<td></td>
<td>Staff</td>
<td>64.8</td>
<td>67.0</td>
<td>73.9</td>
<td></td>
</tr>
<tr>
<td>Work Relationships</td>
<td>Clinician</td>
<td>68.6</td>
<td>69.2</td>
<td>71.6</td>
<td>.1183</td>
</tr>
<tr>
<td></td>
<td>Staff</td>
<td>64.0</td>
<td>63.5</td>
<td>65.4</td>
<td></td>
</tr>
<tr>
<td>Chaos</td>
<td>Clinician</td>
<td>44.0</td>
<td>46.3</td>
<td>43.2</td>
<td>.2747</td>
</tr>
<tr>
<td></td>
<td>Staff</td>
<td>39.2</td>
<td>41.0</td>
<td>43.9</td>
<td></td>
</tr>
</tbody>
</table>

*Ns are based on complete data for maximum numbers responding in each period and may not be the same across subscales.
• Shared leadership across the project, including leadership roles for staff and residents and alignment of residency QI projects with practice-focused QI projects.

Leadership engagement appeared to be essential for gaining buy-in from staff and residents, although not all formal leaders in all practices were open to change in leadership style and a shift to informal leaders implementing quality improvement projects.

Group key informant interviews were conducted with members of each practice at the end of the final funding cycle in 2014, asking practice staff and clinicians to reflect on their accomplishments, leadership changes, and most important lessons learned. Overall, the residencies agreed that the project had helped them accomplish the following notable practice improvements:

• Improved practice culture and leadership, including more team-based care, better team engagement especially among staff, active management of change fatigue, and increased shared leadership with residents and staff;
• Better practice processes (workflow improvements, use of data and registries, and more patient outreach efforts);
• Addition of QI efforts and defined roles (eg, care managers, data/IT manager, team leaders).

Most practices thought that resident engagement in QI work and teamwork had improved, although this was uneven across practices. Among the commonly mentioned positive outcomes of their participation, all practices noted achievement of Level III NCQA PCMH recognition, and most were able to point to specific improvement projects that led to better patient outcomes, such as improved health assessment and screening, lower hospital readmission rates, and improved patient satisfaction.

These were hard won changes (see Table 5 quotations). Facilitator field notes reflected the following common obstacles that had to be overcome by all residency practices:

• Few reimbursement and payment models for interactions and patient care outside of exam room or clinic walls;
• Lack of system recognition of practices’ progress and successes, and the time required to start and maintain PCMH efforts;
• Little system allowance for flexible roles and duties, especially for staff, including new job descriptions for key staff that would allow them to practice at the top of their license;
• Poor clinical data access and data systems, with electronic health records (EHRs) that did not provide key data for quality improvement and population management.

Project residency practices also expressed the following common lessons learned:

• Both administrative and physician leadership have to be trained and engaged in transformation work. Faculty members who lacked experience with change concepts were often resistant to teaching these concepts.
• Alignment of hospital and practice priorities and educational curriculum to support transformation are all necessary for true success.
• Continued spread of transformation methodologies, theories, and frameworks are essential for everyone to be bought into the process, especially staff and clinicians working together. Often, turnover of key leadership and staff could halt improvements and transformation.
• Connecting the resident-led QI projects mandated for graduation by the Accreditation Council for Graduate Medical Education to practice priorities increased sustainability of the work.

Practices indicated that practice facilitation played an essential role in helping them work through their NCQA recognition process while providing external accountability for maintaining focus and follow-through on quality improvement activities. Additionally, practice facilitators who were flexible in their approach, addressed culture and

### Table 3: Change Over Time on Monitor Subscale Scores

<table>
<thead>
<tr>
<th>Subscale</th>
<th>First-Reported Value Mean (SD)</th>
<th>Last-Reported Value Mean (SD)</th>
<th>Slope per Year (SE)</th>
<th>P Value for Change Over Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Team-based Care</td>
<td>50.0 (19.3)</td>
<td>71.9 (15.2)</td>
<td>3.49 (.63)</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td>Data and Population Management</td>
<td>34.5 (15.8)</td>
<td>76.2 (16.5)</td>
<td>8.47 (2.63)</td>
<td>.0095</td>
</tr>
<tr>
<td>Quality Improvement Processes</td>
<td>50.8 (23.4)</td>
<td>74.1 (12.1)</td>
<td>2.16 (.75)</td>
<td>.0046</td>
</tr>
<tr>
<td>Self-management Support and Care Coordination</td>
<td>60.6 (19.8)</td>
<td>74.6 (16.8)</td>
<td>2.29 (.98)</td>
<td>.0215</td>
</tr>
<tr>
<td>Continuity of Care</td>
<td>44.9 (22.5)</td>
<td>76.2 (12.3)</td>
<td>3.51 (.73)</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td>Key Accomplishment</td>
<td>Practice Facilitator, Staff and Clinician Quotations</td>
<td></td>
<td></td>
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<tr>
<td>--------------------</td>
<td>------------------------------------------------------</td>
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</tbody>
</table>
| Level III PCMH NCQA Recognition | “There is universal excitement. PCMH attracts people to the program.... Residents have pushed faculty to move the curriculum around PCMH earlier in their time here.”  
–Residency J (site visit/interview)  
“PCMH Level 3 Recognition was also somewhat of a recruiting tool.”  
–Residency F (site visit/interview) |
| Culture change | “Great discussion on what they do with patients and for them, not to them. A real atmosphere of trying to get patients the best care and to get patients to want to start caring for themselves. Not even a question that this would be a good thing, just a matter of how to put things together.”  
–Residency E (practice facilitator field note)  
On accomplishment: “[A] changed culture of how we look at medicine. I tried the team model three times over twenty years in my own practice, but PCMH made it stick.”  
–Residency E (site visit/interview) |
| QI teams | “The team did a great job coming up with a global aim statement. It was fun to see how once the team remembered the QI steps and tools, how quickly things settled down and the current meeting became organized and the next steps became clear (process mapping). I reminded staff who were part of the original QI team leader training that they have been trained to process map and should lead the next meeting. [Residency Director] took an active role in typing up the aim statement as the group worked on it.”  
–Residency J (practice facilitator field note)  
“Things continue to move forward in the practice, albeit slowly. More and more staff are becoming engaged in both the steering committee and QI teams. They are the ones keeping this project successfully afloat.”  
–Residency I (practice facilitator field note)  
“Having routine monthly QI team meetings has allowed for staff clinicians to work together as a team, allowing time to recognize areas for improvement which exist in the clinic, along with developing potential solutions and using the PDSA cycle to measure success.”  
–Residency D (collaborative learning session presentation) |
| Patient engagement | “PAC [patient advisory council] went very well. The team discussed the Diabetes passport and ideas for improvement. They also discussed the workflow for the PHQ9 and reasons for screening patients at each visit or yearly. The PAC was interested and provided good feedback and support of depression screening.”  
–Residency C (practice facilitator field note)  
On the Patient Advisory Council: “I think the residents take recommendations from patients better than they do from us [faculty]. Coming from the patients it is ‘novel,’ and the residents want to be involved.”  
–Residency A (site visit/interview) |
| Leadership | “This was one of the best meetings I was ever a part of. Leadership set the vision and threw the gauntlet for what the change would mean from everyone. Every area of staff participated in the process map and there were many “Aha!” moments. There was energy around change and doing the best for the patient. Having this meeting during didactic time allows almost all residents to be present and it becomes part education and part QI process. It is helpful to work with the whole group before they break into smaller groups so everyone understands each other better.... Leadership set a very positive and firm tone about the project.”  
–Residency G (practice facilitator field note)  
“Each team elected its own staff leader. The pod leaders got training, teaching tools, and could run their own reports. This helped staff engagement to turn a corner. It was now their work that they were doing.”  
–Residency F (site visit/interview)  
“We moved from residents leading to having residents and staff share leadership duties. This made it easier on the residents. Staff can bring resident concerns to other meetings, and they feel better represented even if they can’t be in the meeting.”  
–Residency H (site visit/interview) |
change fatigue, and addressed the priorities and uniqueness of each practice were most successful in implementing change.

Discussion
From 2009 to 2014, faculty clinicians, resident clinicians, and practice staff who participated in the Colorado Residency PCMH Project reported significant improvements in their routine delivery of patient-centered care, team-based care, self-management support, and use of information systems. Self-reported practice-level assessments also pointed to significant improvements in team-based care, continuity of care, and the use of data and population management. In terms of care processes, these practices made important overall improvements in foundational components of PCMHs, delivering more patient-centered, team-based care, while improving their use of clinical data.

Meanwhile, these practices reported important changes in operations, significantly improving their QI processes and their culture around improvements and change. Importantly, the level of chaos reported in these practices remained relatively unchanged despite attempting to make substantial cultural and operational changes amid variable hospital system support, known barriers to change, clinician and staff turnover, and ongoing and well-known challenges of EHR installations, transitions, or upgrades.

Several obstacles persisted during the project...
that may have slowed important PCMH changes: ill-aligned reimbursement or payment models, lack of system recognition of the need for flexible staffing and timed need for PCMH transformation, and lack of access to clinical data for quality improvement. These resonate with summary findings from the Preparing the Personal Physician for Practice (P4) project that noted that simultaneously improving continuity clinic and residency education is disruptive, takes time, and can be done, though running a clinic and innovating requires financial help.8

Practices valued the practice facilitation and other support they received, believing that the support assisted them in the changes they made. Practice facilitation addressed attributes that are unique to residency practices that required flexibility, managing resistance, and familiarity with curriculum16 to support successful transformation. The residency practices recognized that complexities in their structure require long-term efforts that engage more permanent staff and faculty in shared leadership opportunities—in addition to residents—in improvement efforts and change processes. This evaluation was not designed to assess the long-term sustainability of practice transformation activities resulting from facilitation support as delivered in this intervention; however, the residency programs found value in shared learning activities and continued the biannual collaborative learning sessions without grant funding following the end of the project.

Although this sample of residency training programs made progress on important practice culture and operations measures in delivering better patient-centered care, the evaluation was not able to assess changes in other important outcomes such as the patient experience, cost, clinical outcomes, and provider satisfaction. Although we did not directly assess provider and staff resiliency or burnout, the results from the PCA survey were encouraging in that overall practice chaos was unchanged amid new PCMH activities and change culture improved. The PCA results also point to potential areas for further work to improve work relationships (which improved, but were not significant) and address an apparent gap between high ratings of practice culture by clinicians compared to staff.

Implementation of PCMH and other advanced primary care models in residency programs and practices is essential in preparing the workforce of the future for emerging payment models. Findings indicate that primary care practice transformation, including increased self-rated capacity for data use and patient-centered and team-based care delivery, can be accomplished in residency training settings through practice facilitation and other targeted support. Practices attributed aspects of successful change to project support, which highlights the value of external practice facilitator roles and collaborative learning approaches. Observed practice improvements are relevant to primary care’s continued movement toward patient-centered and value-based models of care.15,27 Capacity in these areas is particularly important in residency practices, which are responsible for training future primary care providers. While we believe these Colorado residency programs are now well-positioned to deliver on the quadruple aim, future evaluations will need to address patient, population, cost, and provider outcomes for these redesigned training programs.

Conclusion
The success of these 11 primary care residency practices in making important and significant improvements in their delivery of patient-centered care, team-based care, self-management support, and use of information systems took time and effort. External support provided through the Colorado Residency PCMH Project helped faculty, resident clinicians, and staff make meaningful steps toward delivering more advanced models of primary care. This study elucidates that further practice redesign for advanced primary care models will take sustained sources of well-aligned support, shared leadership, flexibility, and partnerships across residency programs for collaborative learning to assist in their transformation efforts.

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