



Diversity, Inclusion, and Health Equity in Academic Family Medicine

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BACKGROUND AND OBJECTIVES: Diversity, inclusion, and health equity (DIHE) are integral to the practice of family medicine. Academic family medicine has been grappling with these issues in recent years, particularly with a focus on racism and health inequity. We studied the current state of DIHE activities in academic family medicine departments and suggest a framework for departments to become more diverse, inclusive, antiracist, and focused on health equity and racial justice.

METHODS: As part of a larger annual membership survey, family medicine department chairs were asked for their assessment of departmental DIHE and antioppression activities, and infrastructure and resources committed to increasing DIHE.

RESULTS: More than 60% of family medicine department chairs participating in this study rate their departments highly in promoting DIHE and antioppression, and 66% of chairs report an institutional infrastructure that is working well. Just over half of departments or institutions have had a climate survey in the past 3 years, 47.3% of departments have a diversity officer, and 26% of departments provide protected time or resources for a diversity officer.

CONCLUSIONS: The majority of family medicine department chairs rate their departments highly on DIHE. However, only 50% of departments have formally assessed climate in the past 3 years, fewer have diversity officers, and even fewer invest resources in their diversity officers. This disconnect should motivate academic family medicine departments to undertake formal self-assessment and implement a strategic plan that includes resource investment in DIHE, measurable outcomes, and sustainability.

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Diversity, inclusion, and health equity (DIHE) are central principles of family medicine as we care for patients and communities. Patients express higher satisfaction,^{1,2} better communication,³ and more adherence⁴ with health care providers whose race and ethnicity or language² are congruent with theirs.^{1,5} These factors may be

associated with better health outcomes⁶ and reduction of health inequity.^{7,8}

The impact of physician workforce disparities on patient care is still being characterized⁹ and the health care workforce is not sufficiently diverse.¹⁰ The specialty of family medicine has a legacy of addressing the breadth of issues that affect

the health of patients and communities,¹¹ but despite a higher proportion of underrepresented in medicine (URM) faculty than other specialties (11% vs 7% in 2015),¹² neither the family medicine trainee workforce nor academic family medicine leadership currently match the demographics of the communities we serve^{13,14} (28% Black, Indigenous, and People of Color in 2019¹⁵).

Structural racism, lack of diversity, and gaps in health equity have pervaded health care for generations,¹⁶ and the disproportionate impact of COVID-19 on communities of color is only the most recent example. Academic family medicine is currently defining its mission and role regarding DIHE. The editors of ten family medicine journals have issued a call for action to address systemic racism and eliminate health disparities, jointly committing to scholarship in this area.¹⁷ The mission of

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the Association of Departments of Family Medicine (ADFM) is to support academic departments of family medicine to lead and achieve their full potential in care, education, scholarship, and advocacy to promote health and health equity. In 2019, the ADFM Board of Directors established a DIHE Committee with three working groups to develop smart goals, to embed DIHE into all ADFM committee work, and to examine best practices.¹⁸ The ADFM Best Practices working group, composed of the authors of this study, aimed first to characterize the current state of DIHE efforts in academic family medicine departments.

Strategies for increasing faculty diversity in academic medicine have been characterized,^{19,20} but despite the commitment of academic family medicine leadership, the current status of DIHE engagement in departments of family medicine is not

known. We surveyed family medicine department chairs about DIHE efforts in their departments. We examined the investment of departments in DIHE activities, hypothesizing that while DIHE efforts are common with departments of family medicine, well-defined and well-funded efforts would be less widespread.

Methods

Survey

In 2020, ADFM conducted its annual member survey completed by chairs of ADFM member departments, which includes nearly all departments of family medicine at allopathic medical schools in the United States, as well as a few allopathic Canadian departments, osteopathic departments, and departments in large regional medical centers with a robust educational mission. The survey was sent electronically to all 165 member departments on June

29, 2020; after several reminders, the survey was closed on September 2, 2020. Review of survey results for this study was approved under minimal risk review by the University of Washington Institutional Review Board. The Best Practices working group of the ADFM DIHE Committee met virtually during the COVID-19 pandemic to analyze the current state of DIHE represented by the survey and to recommend best practices for academic family medicine departments.

Survey Questions

The annual member survey contained a total of 86 questions, on such topics as research, health care delivery transformation, and faculty promotions. Of these, the seven questions in the diversity/health equity section were relevant to this project. These items are listed in Table 1. Three of the questions were

Table 1: 2020 ADFM Chair Survey Responses

Survey Item	Responses, % (n)
1. Do you have an infrastructure for diversity and inclusion in your institution that you feel is working well? Yes No	66.0 (62) 34.0 (32)
2. On a scale of 1 to 5, how well do you feel your department does in promoting diversity, inclusion, health equity and antioppression?*	
2 or 3	38.7 (36)
4	46.2 (43)
5	15.1 (14)
3. In the last 3 years, has your department received data from a climate survey (conducted by the institution or by your department)? Yes No	53.2 (50) 46.8 (44)
4. Commitment to the diversity/inclusion officer** No one designated Designated but no support Resources only FTE only FTE and resources	55.3 (52) 19.2 (18) 7.5 (7) 6.4 (6) 11.7 (11)
5. Does this position have a pathway to advancement in the institution or department (ie, is this a career-advancing position)? Yes No	53.7 (22) 46.3 (19)

Abbreviations: ADFM, Association of Departments of Family Medicine; FTE, full-time equivalent.

* No respondents answered "1". Responses "2" or "3" were combined to aid data analysis.

** Three survey questions were combined to create the category of commitment to the diversity/inclusion officer: (1) Does your department have someone serving as diversity/inclusion officer or someone who is in charge of taking reports of adverse events for your department? (2) Does your department have allocated FTE for this position?; and (3) Does your department have funding and resources (e.g. staff) for this position to accomplish what they need to?

combined to create a single measure of commitment to the diversity/inclusion officer, as shown in the table.

Analysis

Responses to survey items were summarized by frequencies; χ^2 and Fisher's Exact tests for categorical variables (based on cell frequencies) examined associations between survey items. We performed analyses with SAS v9.4 (SAS Institute, Cary, NC) at an α level of 0.05.

Results

A total of 94 of the 165 invited member departments responded (57% response rate). Sixty-six percent of chairs participating in this study reported their institution had an infrastructure for diversity and inclusion that was working well. Less than half (47.3%) of departments had a designated diversity/inclusion officer, and 53.7% of those positions had a pathway that led to career advancement. Approximately 25% of departments invested full-time equivalent (FTE) or resources in diversity and inclusion. More than half (53.2%) of chair respondents reported receiving data from a climate survey conducted by the institution or the department in the last 3 years.

Among those reporting a designated diversity/inclusion officer, resource commitment to that officer was significantly associated with the position having a pathway to advancement in the institution or department, based on Fisher's exact test ($P < .001$). Higher level of commitment in terms of FTE and resources was associated with the position having a pathway to advancement in the institution. (Table 2)

Respondents who reported an institutional infrastructure for diversity and inclusion were significantly more likely to give higher ratings to their department on promoting DIHE and anti-oppression, (Fisher's exact test, $P = .002$; Table 3). Neither institutional infrastructure nor departmental promotion of DIHE was statistically significantly associated with the commitment measure.

Discussion

More than 60% of family medicine department chairs in this study rated their departments highly in promoting DIHE and antioppression, and 66% reported an institutional infrastructure that is working well. However, just over half of departments have had a climate survey to measure the engagement and perceptions of the workplace in the past 3 years. Fewer than half of respondents reported having a diversity officer, which is a key element of a diversity infrastructure outlined in the Association of American Medical Colleges (AAMC) *Diversity and Inclusion Strategic Planning Guide*,²¹ and only half of those supported the diversity officer with FTE or resources. Lack of support was correlated with a lack of potential advancement in the institution. These findings raise the question of whether the positive self-assessment by department chairs is more reflective of good intentions than strategic action and successful outcomes. Lack of departmental resource investment calls the question whether departments truly prioritize DIHE, unless they rely on a robust larger institutional DIHE infrastructure.

This study illustrates that DIHE is not uniformly strong within academic family medicine departments. We suggest a framework for academic family medicine departments to become more diverse, inclusive, antiracist, and focused on health equity and racial justice. We believe that departments should (1) begin with self-assessment, (2) use that assessment to create a strategic plan, (3) create and support a DIHE infrastructure, and (4) regularly measure and report outcomes of those efforts. These steps will align family medicine departments with efforts across academic medicine.²²

1. Assessment

Despite high self-rating, only half of the participating departments had data from a climate survey in the past 3 years. To obtain such data, the Diversity Engagement Survey is

one validated diagnostic and benchmarking tool for assessing an academic medical institution's diversity and inclusion with respect to faculty, staff, and students.²³ Second, a community environmental scan can identify issues outside of health care that are critical to advancing health equity and identify the degree of alignment of the department with its community.²⁴ Finally, a departmental strengths, weaknesses, opportunities and threats (SWOT) analysis can inform the work ahead.

2. Strategic Plan

An effective strategic plan should use the self-assessment to further DIHE as part of the institution's culture and values. Institutions can leverage the AAMC Diversity and Inclusion Strategic Planning Toolkit¹⁰ from the initial planning phases through implementation, learning from practical examples at each step. Another road map for increasing diversity and reducing health disparities is Finding Answers: Disparities Research for Change, a national program of the Robert Wood Johnson Foundation.²⁵ Interventions should address cultural and structural issues as well as individual issues.²⁶ The COVID-19 pandemic has added another layer of complexity to making decisions and strategic plans in a crisis. Whether it is this pandemic or the next one, the National Inclusive Excellence Leadership Academy Center for Strategic Diversity Leadership & Social Innovation suggests a four-point Crisis Action Framework: (1) make culturally relevant decisions, (2) support diverse communities, (3) communicate thoughtfully and inclusively, and (4) digitize inclusive excellence.²⁷

3. DIHE Infrastructure

More than half of family medicine department chairs in this study rated their departments highly in promoting DIHE and antioppression and reported that the infrastructure for diversity and inclusion in their institutions was working well. High rating of departments correlated

Table 2: Association Between Reported Resources and Full-Time Equivalent Allocated to Diversity Officer and Potential for Advancement

Responses for Departments That Reported Having a Diversity Officer or Someone in Charge of Taking Reports of Adverse Events*	Total	Does this position have a pathway to advancement in the institution?	
		No	Yes
Designated but no support	18 (44%)	15 (79%)	3 (14%)
Resources only	7 (17%)	3 (16%)	4 (18%)
FTE only	5 (12%)	1 (5%)	4 (18%)
FTE and resources	11 (27%)	0 (0%)	11 (50%)
Total	41 (100%)	19 (100%)	22 (100%)

Abbreviation: FTE, full-time equivalent.

* The relevant survey questions in column 1: (1) Does your department have someone serving as diversity/inclusion officer or someone who is in charge of taking reports of adverse events for your department? (2) Does your department have allocated FTE for this position?; and (3) Does your department have funding and resources (eg, staff) for this position to accomplish what they need to?

Table 3: Association Between Institutional Infrastructure and Report of How Well Departments Promote Diversity, Inclusion, Health Equity and Antioppression

Do you have an infrastructure for diversity and inclusion in your institution?	Total	On a scale of 1 to 5, how well do you feel your department does in promoting diversity, inclusion, health equity and antioppression?			
		1	2 or 3	4	5
No	32 (34%)	0 (0%)	18 (50%)	14 (33%)	0 (0%)
Yes	61 (66%)	0 (0%)	18 (50%)	29 (67%)	14 (100%)
Total	93 (100%)	0 (0%)	36 (100%)	43 (100%)	14 (100%)

with having an institutional infrastructure, and none of the departments that lacked institutional infrastructure reached the highest self-rating for DIHE. An effective DIHE infrastructure requires sustained action from the highest level of an organization down, as well as from the grassroots up. Leadership must articulate DIHE as a strategic priority of the institution and appoint an advisory council that includes all major sectors of the organization. Family medicine departments must have a DIHE director with the authority, support, tools, and resources to champion those efforts,²⁸ who can lead a departmental diversity council. The DIHE structure must be accountable, transparent, and report regularly,²⁴ and DIHE leaders must have a pathway to career advancement.

4. Outcome Accountability and Dissemination

Departments should identify key metrics for tracking and

dissemination, using published benchmarks from industry²⁹ or guidelines from academic medicine.¹⁰ If a department is focused on increasing staff diversity and creating a more inclusive environment, for example, demographic and climate survey data can be collected longitudinally. These data should be shared in a sustainable manner with deans and other internal stakeholders, compared within the institution and across departments of family medicine, and disseminated to external stakeholders. Successes supported by data can be replicated, while failures can promote learning and enable revision and redirection. Instead of relying on narrative reports of “best practices,” departments should measure, analyze, and publish outcomes to build evidence-based literature. Examples of this work include the AAMC’s *MedEd-PORTAL* Diversity, Inclusion and Health Equity Collection³⁰ and the *Annals of Family Medicine*’s Shared Bibliography on System and Health

Disparities.³¹ Departments of family medicine must commit to adding to this literature.

Limitations of this study included a 57% response rate and self-recall bias. The ranking of one to five in self-rating of diversity lacked description and directionality of each numerical response, leaving room for interpretation. Demographic data for chairs were not obtained. The study occurred at one point in time during a time of change and heightened sensitivity to the issues. Future surveys will measure trends and progress in family medicine department engagement in DIHE with additional questions about demographics and qualitative responses regarding actions taken in the past year.

This survey of academic family medicine department chairs identified that while over half of the responders rated themselves highly, there is considerable opportunity for more rigorous assessment, planning, and sustainable DIHE infrastructure. Future surveys may reveal

a drop in department chair satisfaction with their DIHE infrastructure as more departments embark on their own internal work and soul searching.

Making DIHE a central focus in departments of family medicine will have a widespread impact within the communities we serve, the institutions in which we work, and the health care system as a whole. Continuing the same systems that created these disparities is not an option.

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